

PRI-102



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

SANKARAN ET AL.

Serial No.: 09/501,154

Filed: February 9, 2000

For: METHOD AND SYSTEM FOR
INTERACTIVE INITIAL
OFFERING OF MULTI-CLASS
FINANCIAL INSTRUMENTS

Art Unit: 3628

Examiner: NGUYEN, NGA B.

REQUEST FOR RECONSIDERATION

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request reconsideration of the claim rejection set forth in the Office Action mailed January 4, 2006. A Petition for a three month extension of time is being filed concurrently herewith. Any additional fee necessary to consider this response is hereby authorized to be charged to deposit account 03-3975.

Claims 1 and 3-30 stand rejected under 35 U.S.C. §103(a) as being unpatentable over U.S. Patent 6,233,566 to Levine et al. ("Levine"), in view of Parks, Journal of Accountancy, v171n4, pp:41-51 ("Parks"), and further in view of Holdcroft et al., Secondary Mortgage Markets, v5n4, pp: 16-20 ("Holdcroft"). This ground of rejection is respectfully traversed.